 Cyfoeth Naturiol Cymru. Natural Resources Wales

ONR - Joint regulatory guidance

New nuclear power plants - Early regulatory engagement for new nuclear projects

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# Introduction

## Background

The Office for Nuclear Regulation (ONR), the Environment Agency and Natural Resources Wales (NRW) (‘the regulators’) are working together to make sure that any new nuclear power plants built in Great Britain (GB) meet the standards for safety, security, safeguards, environmental protection and waste management.

This guidance describes a new early engagement process for persons seeking to deploy reactor technology, which may take place prior to entering generic design assessment (GDA) or other regulatory processes such as licensing.

## Regulation of new nuclear

ONR is the independent regulator of safety, security and safeguards at nuclear licensed sites in GB. It also regulates radioactive materials transport and ensures that nuclear safeguards obligations for the UK are met.

The Environment Agency’s and NRW’s regulatory responsibilities extend to England and Wales, respectively. The principal aim of the Environment Agency and NRW is to protect or enhance the environment, and to contribute towards achieving sustainable development.

The regulators are required to issue licences and permits for new nuclear power plants in accordance with statutory provisions. Further licences, consents and permits will be required from other bodies, for example from the Planning Inspectorate in relation to planning consents for Nationally Significant Infrastructure Projects, and from other government agencies such as the Department for Environment, Food & Rural Affairs, which owns the process for justification of proposed facilities as required by the Justification of Practices Involving Ionising Radiation Regulations 2004. Engagements with other such bodies are outside of the scope of this process.

## Purpose of this guidance

This guidance describes a multi-tiered process to give advice and guidance, both on the technical reactor design and the potential pathways through optional and mandatory regulatory processes. It is available to any party requesting early engagement on a proposed deployment in GB, including reactor technology vendors, developers or aspirant licence/ permit holders (or consortia of these).

This guidance explains:

* how the early engagement process is intended to work;
* our expectations for entering early engagement;
* the subjects on which we are able to provide advice and guidance; and
* the benefits and possible outcomes from early engagement.

# Early engagement process



## Overview

The approaches described in this document are not mandatory, prescriptive, or fixed in scope. Our joint objectives for early engagement are:

* Facilitate an applicant’s access to regulators as early as possible, so that they can benefit from early advice and guidance before entering into other regulatory processes;
* provide a quick, efficient, cost effective way of soliciting high level regulatory views on aspects of an applicant’s proposals;
* build regulators’ confidence in the potential of the proposed project to meet regulatory expectations; and
* enable informed decisions on regulators’ resource deployment.

There are three approaches, or tiers, to early engagement:

* one-day engagement - an introductory engagement which is a pre-requisite for any subsequent engagement;
* process and technical engagements; and
* preliminary design review.

The format, objectives and outputs of each tier are set out in Table 1. Each tier is further described in Section 3.

## Entry into early engagement

Requests for early regulatory engagement will be subject to prioritisation, and the scheduling of such engagements will be contingent upon the availability of regulatory resources.

Requests for early regulatory engagement should be made to [Contact@onr.gov.uk](mailto:Contact@onr.gov.uk). We will ask the Department for Energy Security and Net Zero (DESNZ) to undertake due diligence checks on our behalf to enable us to determine eligibility to proceed.

Entry into early engagement is also dependent on the applicant entering into agreements allowing the regulators to recover our costs.

The information provided during the one-day engagement will inform our decision on whether the organisation is ready to progress to the subsequent tiers of early engagement, and on what timescales. This is to ensure our regulatory resource is targeted on projects that are most likely to progress and are in line with government policy. The type of factors we will consider are:

* the maturity of the technology and supporting analyses;
* the status of the development company and the feasibility of its plans; and
* the alignment of the project with government policy (in consultation with DESNZ).

We anticipate that not all requests for engagements will be supported beyond the one-day event.

**Table 1 - Summary of early engagement tiers**

| Tier | What is it? | What is it not? | Format | Objectives | Prerequisites | Output |
| --- | --- | --- | --- | --- | --- | --- |
| One day | Information exchange to further understanding | An indication of readiness to progress to subsequent tiers of early engagement | Face-to-face meeting | Further the applicant’s understanding of the UK regulatory framework, and available pathways  Further regulators’ understanding of the applicant’s plans for deployment in GB | Application to [contact@onr.gov.uk](mailto:contact@onr.gov.uk); DESNZ advises successful due diligence checks  Cost recovery agreements in place with regulators | Contact Record of engagement |
| Process and technical engagements | Structured technical workshops to explain agreed subjects of interest in further detail | An indication of readiness to progress to GDA and/ or licensing/ permitting | Up to ten workshops, two to four hours in duration | Regulators explain in further detail the specifics of regulatory pathways, and the requirements and expectations of each  Applicant receives advice on specific aspects on its design and deployment plans to inform onward strategy | One-day engagement completed  Regulators agree on readiness to proceed | Contact Records of workshops  Residual areas of concern/ interest identified and agreed |
| Preliminary design review | Technical review of aspects of the design, based on regulatory submissions on agreed topics | A substitute for GDA  An indication that the design is ready to enter GDA  An indication that any potential GDA will be successful. | Review of up to six regulatory submissions | Regulators identify potentially significant gaps against regulatory expectations and provide regulatory advice and guidance on resolution of the gaps.  Applicants achieve better understanding of the project risks on the pathways through GDA or site specific design assessment.  To provide applicants with an opportunity to develop credible plans for resolution of any regulatory gaps in subsequent phases of their project. | One-day engagement completed  Regulators agree on readiness to proceed | Contact Records of engagements  Summary report indicating regulators’ confidence that the design can potentially meet expectations |

# Early engagement tiers

## One-day engagement

### Scope and purpose

The purpose of the one-day engagement is for the regulators to:

* Understand the proposed technology and plans for deployment;
* Set out the UK regulatory framework, including an overview of the GDA, licensing and permitting processes; and
* Set out the regulatory pathways available, highlight early and major risks, and define information requirements for each stage and key hold points.

Depending on the maturity of the applicant’s organisation and deployment plans, the engagement could also include initial dialogue on specific matters such as:

* The applicant’s plans and high-level programme for deployment in GB, including for design development, licensing, permitting and construction;
* Plans for development in other countries and engagement with other regulators;
* Information on preferred site(s) and plans to obtain security of tenure;
* Plans to navigate the wider regulatory framework including planning, nuclear liability insurance and funded decommissioning programme; and
* Requirements for export licences, to allow exchange of information with parties based overseas, for any subsequent regulatory engagement.

### Preparation

The following on-line seminars provide foundation knowledge on the UK’s goal-setting regulatory regime and the structure and content of demonstrations required by the regulators; these should be viewed ahead of the one day engagement.

* [UK Goal Setting Legislation](https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Fyoutu.be%2FA2RfrhXw2E0&data=04%7C01%7CMike.Webley%40environment-agency.gov.uk%7Cc3a17d0b21314540c99408d9f23863c8%7C770a245002274c6290c74e38537f1102%7C0%7C0%7C637807147702782373%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000&sdata=0Sq1W5KBvL07L%2Fq%2BHOyEYT9WlcdaRIvG1Odtg6olFlo%3D&reserved=0)
* [UK Nuclear Safety Case Structure](https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Fyoutu.be%2FxdQJgQGy-Rw&data=04%7C01%7CMike.Webley%40environment-agency.gov.uk%7Cc3a17d0b21314540c99408d9f23863c8%7C770a245002274c6290c74e38537f1102%7C0%7C0%7C637807147702782373%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000&sdata=kJf2iECBDbu2Wpr%2BdjGSv0mgPDH849%2BsZGbeGhhfmZw%3D&reserved=0)
* [GDA and Licensing Processes](https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Fyoutu.be%2Fk8mD_VJktZ4&data=04%7C01%7CMike.Webley%40environment-agency.gov.uk%7Cc3a17d0b21314540c99408d9f23863c8%7C770a245002274c6290c74e38537f1102%7C0%7C0%7C637807147702782373%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000&sdata=V19ebpVNc4sXkxZq%2FU1EkPDDYoWQWFYt0%2FOw5w93WlU%3D&reserved=0)
* [GDA and Environmental Permitting](https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Fyoutu.be%2FGhv-X5GkhnU&data=04%7C01%7CMike.Webley%40environment-agency.gov.uk%7Cc3a17d0b21314540c99408d9f23863c8%7C770a245002274c6290c74e38537f1102%7C0%7C0%7C637807147702782373%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000&sdata=s6XuV7r%2B7VyujsfGMgwbhA6QpVVg6NXhvVlXhxctNJY%3D&reserved=0)
* [Nuclear Safeguards](https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Fyoutu.be%2F_HBjj7JZgyY&data=04%7C01%7CMike.Webley%40environment-agency.gov.uk%7Cc3a17d0b21314540c99408d9f23863c8%7C770a245002274c6290c74e38537f1102%7C0%7C0%7C637807147702782373%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000&sdata=tshD9X6lruQaWyTx4NRy4tTOL7Q9imEUj33HXBIbuDg%3D&reserved=0)
* [Nuclear Security](https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Fyoutu.be%2FJm1Cx_992is&data=04%7C01%7CMike.Webley%40environment-agency.gov.uk%7Cc3a17d0b21314540c99408d9f23863c8%7C770a245002274c6290c74e38537f1102%7C0%7C0%7C637807147702782373%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000&sdata=3Zm7rUjAAZ1edzT62enOZyagVZk0NB4f7giZwxLo11w%3D&reserved=0)

### Outputs

The regulators will provide a written record (‘Contact Record’) of the engagement discussion points and outcomes.

## Regulatory process and technical engagements

### Scope and purpose

This is a series of structured engagements on a range of topics to be agreed between the applicant and the regulators, selected based on technical risk, building on the one day engagement and explaining in much greater detail the specific matters of interest.

### Process

Engagements will commence with an introductory session to agree the scope of the main engagements. This will be followed by up to ten sessions, typically two to four hours in duration, covering the agreed topics, which could include:

* technology design overview and novel features
* safety analysis
* demonstration of BAT
* supply chain and quality assurance
* security by design expectations
* GDA options and expectations
* Siting, licensing and justification process
* deployment options

Following the main engagements there will be a final half-day session to conclude the engagements and discuss the applicant’s proposed way forward.

### Outputs

The regulators will provide written records (‘Contact Record’) of the engagements. These will identify any residual issues, or areas requiring further work prior to entry into subsequent regulatory processes.

## Approach 3: Preliminary design review

### Scope and purpose

This is a technical review of certain aspects of the design of a proposed new nuclear power plant, based on regulatory submissions provided by the applicant.   
A preliminary design review is not a substitute for generic or site specific design assessment or the nuclear site licensing process and does not equate to a project risk reduction equivalent to GDA, although we expect that it will result in more efficient progress through subsequent regulatory processes.

### Process

Under the preliminary design review the applicant will provide up to six submissions for regulatory review.

The process starts with an opening engagement, for the regulators and applicant to clarify the objectives of the PDR and agree the topics, scope and delivery timeline for the submissions. If regulatory knowledge of the reactor design has not already been developed during earlier engagements then the applicant may also be expected to present information on the design aspect to be reviewed, highlighting the key safety, security and environmental features.

The applicant should select up to six topic areas that present the greatest project risk, or where regulatory insight is of most value. This may include areas where the applicant is aware of potential gaps against UK regulatory expectations, perhaps due to technical novelty and/or complexity, design maturity, or analysis challenges. Advice from the regulators may be helpful when selecting the topics for engagement. This can be discussed ahead of the preliminary design review, for example during the one-day engagement or process and technical workshops.

Once the scope and programme have been agreed, the review of regulatory submissions will follow the process outlined in Table 2.

**Table 2 - Preliminary design review process**

|  |  |  |
| --- | --- | --- |
| Stage | Purpose | Indicative Timescales |
| Regulatory Expectations Meeting | To allow regulators to set out their expectations for the specific topic(s) | Four weeks before the submission deliverable date |
| Regulators’ review | For regulators to form a view on the extent to which expectations are met for the specific topic(s), and identify potentially significant shortfalls | Four weeks |
| Regulators comments provided | Written comments or clarification questions provided by the regulators | Within four weeks of submission deliverable date |
| Response to comments | Applicant provides a written response to the regulators’ comments | Within two weeks of receipt of comments |
| Technical convergence workshop | For the applicant and regulators to discuss any unresolved comments  The applicant may develop and present a plan for resolution of outstanding issues prior to further regulatory engagement on the topic(s) | Two weeks after comment responses received |

### Outputs

The regulators will summarise the outcomes from the preliminary design review in a summary report. This will set out the comments raised against each submission, as well as the action taken to address them, and will provide an indication of the regulators’ confidence that expectations can be met should the design be taken forward into subsequent regulatory processes.

# Facilitating early engagement

Early engagement is a joint offering provided by the regulators.

It will be subject to suitable contractual agreements being in place to enable the regulators to recover their costs.

ONR recovers its costs for early engagement through provisions in ‘The Energy Act 2013’ and the ‘Health and Safety and Nuclear (Fees) Regulations 2022’.

The Environment Agency recovers the costs of its early engagement work, and any preliminary discussions with an applicant, using a Sections 37/43 agreement under the ‘Environment Act 1995’. If NRW are involved a similar agreement for recovery of costs would be set up under the ‘Natural Resources Body for Wales (Establishment) Order 2012’.

Meetings would normally be hosted by the applicant, at a venue selected for mutual convenience, confidentiality and security. Alternatively, meetings may be held remotely using an agreed method.

The regulators will maintain and publish a list of organisations who have engaged using the processes covered in this guidance.

# Appendix - Other relevant guidance

It would be beneficial for any applicant to have prior knowledge of the regulatory framework and regulatory processes prior to commencement of early engagement.

GDA is an established process aimed at providing confidence that a proposed design is capable of being constructed, operated and decommissioned in accordance with the expected standards of safety, security, safeguards and environmental protection. The regulators have published guidance on the GDA process:

* ONR guidance for Requesting Parties:  
  <https://www.onr.org.uk/new-reactors/onr-gda-gd-006.pdf>
* ONR technical guidance:  
  <https://www.onr.org.uk/new-reactors/reports/onr-gda-007.pdf>
* Generic Design Assessment guidance for Requesting Parties: <https://www.gov.uk/government/publications/new-nuclear-power-plants-generic-design-assessment-guidance-for-requesting-parties>

It is a mandatory requirement for the construction and operation of a specific design at a designated site to be licensed and permitted. ONR’s expectations for nuclear site licensing are set out in the guide to licensing nuclear installations:

* <https://www.onr.org.uk/licensing-nuclear-installations.pdf>

ONR has published assessment principles that set out regulatory expectations when undertaking technical assessments across its regulatory purposes:

* Safety Assessment Principles (SAPs): <https://www.onr.org.uk/saps/saps2014.pdf>
* Security Assessment Principles (SyAPs): <https://www.onr.org.uk/syaps/security-assessment-principles.pdf>
* ONR Nuclear Material Accountancy, Control and Safeguards Assessment Principles (ONMACS): <https://www.onr.org.uk/operational/other/onr-cnss-man-001.pdf>

The Environment Agency’s approach and guidance to Radioactive Substances Regulation (RSR) permitting is set out in:

* <https://www.gov.uk/guidance/nuclear-sites-rsr-environmental-permits>
* <https://www.gov.uk/government/publications/radioactive-substances-regulation-rsr-objective-and-principles>

NRW participates in early engagement where a new nuclear power plant design is likely to be proposed for construction in Wales. NRW carries out its review in accordance with legislation applicable in Wales. Further information can be found at:

* https://naturalresources.wales/RegulatingNuclearSites?lang=e